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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/765,907

01/19/2001

Stephen M. Trimberger

X-714 US

9367

24309

7590

01/18/2006

XILINX, INC

ATTN: LEGAL DEPARTMENT

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SAN JOSE, CA 95124

EXAMINER

COLIN, CARL G

ART UNIT

PAPER NUMBER

2136

DATE MAILED: 01/18/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 09/765,907	Applicant(s) TRIMBERGER, STEPHEN M.	
	Examiner Carl Colin	Art Unit 2136	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

**A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.**

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 24 October 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4, 7, 12, 13, 15 and 21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, 7, 12, 13, 15 and 21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 January 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                             | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____  |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                    | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Response to Arguments***

1. In response to communications filed on 10/24/2005, applicant amends claims 1, 7, 12, and 21 and cancels claims 6, 8, 20, and 22. The following claims 1-4, 7, 12-13, 15, and 21 are presented for examination.
2. Applicant's arguments, pages 5-6, filed on 10/24/2005, with respect to the rejection of claims 1 and 12 have been fully considered, but they are not persuasive. Applicant argues that the claimed use of the ratio is not suggested in IBM-RNG since the claimed ratio should be a deterministic value as compared to IBM-RNG's non-deterministic value. This limitation is not claimed. In response to applicant's argument that "the two oscillator counts and the ratio between the counts may be used to avoid fingerprint drift", a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. Examiner asserts that the prior art as combined disclosed a random number generator generating a ratio as fingerprint to be used for encryption/decryption. Applicant has not overcome the rejection by amending the claims, and the claims remain rejected in view of Erickson and IBM Technical Disclosure Bulletin "Integrated Circuit Compatible Random Number Generator".

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3.1 **Claims 1-4, 7, 12-13, 15, and 21** are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 5,970,142 to **Erickson** in view of IBM Technical Disclosure Bulletin "Integrated Circuit Compatible Random Number Generator", April 1998, Volume 30, Issue Number 11; pages 333-335.

3.2 **As per claims 1, 7, 12, and 21, Erickson** discloses an FPGA and method of securing communication of configuration data between a field programmable gate array (FPGA) and an external storage device comprising: a plurality of configurable logic elements within the FPGA being programmable with configuration data to implement a desired circuit design, for example (see column 3, lines 5-21); transmitting encrypted configuration data from the storage device to the FPGA, for example (see column 3, lines 34-36); and a decryption circuit coupled to received encrypted configuration data, the decryption circuit configured to decrypt the encrypted configuration data in the FPGA using the fingerprint as a decryption key to extract the

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configuration data, for example (see column 3, lines 34-42). **Erickson** discloses a security circuit comprising a key generator for generating a key (column 2, lines 30-31) and the security circuit also comprises a security initialization circuit for generating initialization data to be used for encryption/decryption (column 4, lines 44-65) that meets the recitation of fingerprint element for generating fingerprint representing inherent manufacturing process variations unique to the FPGA. **Erickson** does not explicitly disclose a random number generator comprises oscillators and measuring the oscillations and combining them to generate the key. IBM Technical Disclosure Bulletin discloses generating random binary numbers for use to supply inputs for encryption/decryption function that can be implemented with standard logic circuits with high statistical quality by generating the values from oscillators (pages 333-335), the random generator circuit comprises oscillators and sensing circuit for counting the number of oscillations of a first oscillator and a second oscillator during a predetermined time interval (see bottom of page 334). One of the advantages of this random number generator to those known in the art, as stated this disclosure, is that in contrast to other generators known in the art that produce non-deterministic random values, this technique can be adapted to modern digital VLSI technologies by counting down the output of oscillators at a desired sampling frequency and generating a ratio between the oscillators to be used as the fingerprint that also meets the recitation of measuring and combining the propagation delays to be used as the fingerprint (page 334). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of key generation of **Erickson** to apply the concept of random number generator of the IBM Technical Disclosure Bulletin of measuring propagation delays and combining the propagation delays to generate the fingerprint as taught in IBM Technical

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Disclosure Bulletin because this technique provides a way of generating true random binary numbers with high statistical quality and using values from oscillators as described in the disclosure would provide a further advantage that the technique can be adapted to modern digital VLSI technologies. The motivation to do so is given in the IBM Technical Disclosure who teaches: with a high quality random number generator, it is extremely unlikely that future or past results can be predicted; therefore, the chances of guessing the key from the security circuit is greatly reduced. In addition to generating true random binary numbers with high statistical quality, generating the values from at least two oscillators can prevent biased outputs since this technique provides the ability to vary frequencies and combining the frequencies to avoid biased outputs.

**As per claims 2 and 13, Erikson** discloses the limitation configuring the FPGA using configuration data, for example (see column 3, lines 39-42).

**As per claims 3 and 15, Erikson** discloses the limitation of further comprising: transmitting the fingerprint from the FPGA to an encryption circuit, for example (see column 3, lines 31-32); encrypting the configuration data using the fingerprint as an encryption key, for example (see column 3, lines 30-35); and storing the encrypted configuration data in the storage device, for example (see column 3, lines 15-18).

**As per claim 4, Erikson** discloses the limitation of, wherein the fingerprint generated during power-up of the FPGA, for example (see column 3, lines 25-30).

*Conclusion*

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

4.1 a) The prior art made of record and not relied upon is considered pertinent to applicant's disclosure as the art discloses methods of generating keys from voltage threshold, number of oscillations etc..

a) US Patents: 5,961,577 Soenen et al ; 5,450,360 Sato; 6,150,837 Beal et al.  
5,007,087 Bernstein et al ; 4,203,070 Bowles et al ; 5,952,933 Issa et al ;


b) US Patent: 5,970,142 to Erickson, also discloses many of the claimed features such as generating fingerprint, transmitting encrypted data, etc.


c) US Patents: 5,961,577 to Soenen et al; 5,963,104 to Buer; 6,005,829 and 6,005,891 to Conn disclose many of the claimed features such as generating random values using oscillators by measuring and combining propagation delays, etc.

4.2 Any inquiry concerning this communication or earlier communications from the examiner should be directed to Carl Colin whose telephone number is 571-272-3862. The examiner can normally be reached on Monday through Thursday, 8:00-6:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
Carl Colin  
Patent Examiner  
January 12, 2006

  
Primary Examiner  
AU2131  
1/13/06